

# CORPORATE SOCIAL RESPONSIBILITY PLATFORM

2016 Report



# A Letter from the Executives

Dentsply Sirona, with sales in more than 120 countries has long viewed itself as part of an integrated global community. We see our role in the world as being a good corporate citizen in all the communities in which our employees live, work, and serve – as well as focusing our efforts on helping to improve oral health for all populations.

We believe that strengthening our corporate social responsibility initiatives will help forge long-lasting and trustworthy relationships with customers, employees, business partners, and shareholders.

As one of the world's largest providers of professional dental solutions, we empower dental professionals worldwide to create a forward-thinking environment aimed at bettering the future of the dental industry.

Dentsply Sirona was formed in 2016, when DENTSPLY International Inc. and Sirona Dental Systems Inc., two leaders in dental innovation, merged together to provide better, safer, and faster care in all fields of dentistry. From our humble beginnings more than a century ago to today's position at the forefront of the digitization of dental care, Dentsply Sirona has set a global standard for dental and healthcare consumables, specialty products, enabling technologies and clinical education.

We are committed to introducing new, innovative products and complementary, end-to-end solutions that deliver the best possible dental care, for the benefit of patients and practitioners. It is our social responsibility to provide your practice with high-quality dental solutions that improve our local communities as well as the global community as a whole.

Dentsply Sirona's core focus of corporate philanthropy targets improving prevention, education, and access to oral health care. We see the importance and recognize our ability to provide the global community with high-quality dental products.

With this in mind, we are focused on empowering dental professionals to deliver better dental care. We work with dental professionals to envision what's possible, and then enable them to achieve it. We provide innovative platforms on which they can build their practices and proven solutions that make each procedure as effective, fast, and safe as possible.

Through clinical education and hands-on support, we keep dental professionals on the cutting edge so they can deliver the best care possible. Everything we do is centered on one goal – to provide better, safer, faster dental care.



*James G. Mosch*  
James G. Mosch  
President and Chief  
Operating Officer,  
Dental and Healthcare  
Consumables

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Manufacturing, Supply  
Chain & Operational  
Excellence

## Dentsply Sirona at a glance



### Empowering dental professionals to provide better, safer, faster dental care

- The world's largest manufacturer of professional dental products and technologies
- Leading positions and platforms across consumables, equipment, technology, and specialty products.
- \$4 billion sales proforma\*

### Global

- Sales in over 120 countries
- Infrastructure in more than 40 countries
- Dentsply Sirona products used on over six million patients by 600,000 dental professionals annually

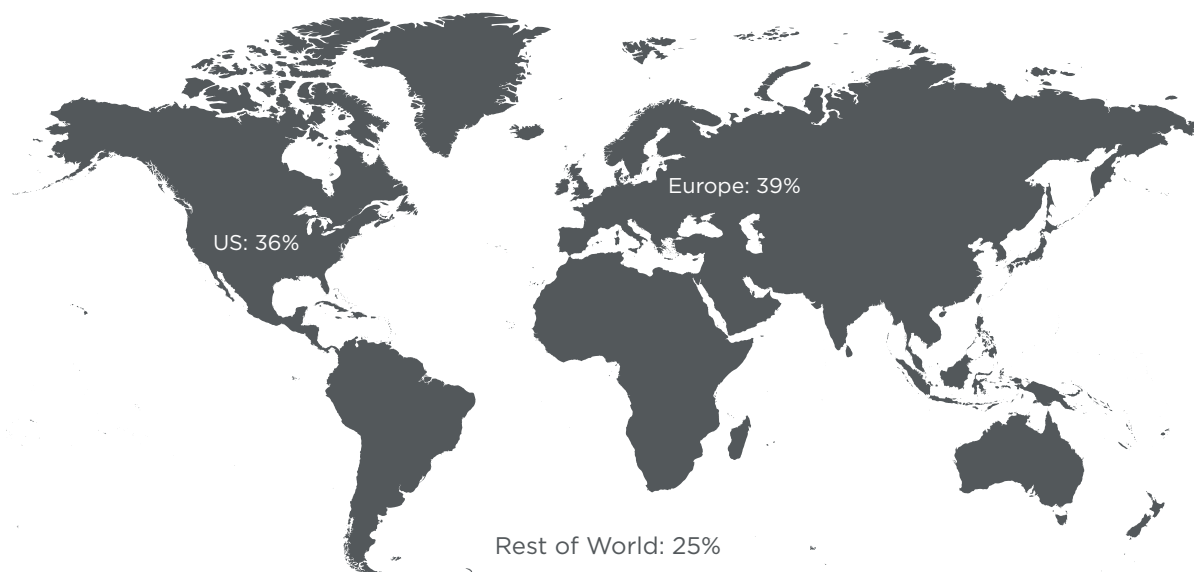
### Committed to innovation and education

- 30+ significant new products annually
- Trains over 350,000 dental professionals annually
- Courses conducted in 87 countries

### Financially strong

- Consistent cash generation
- Solid balance sheet
- More than a century at the forefront of innovation to improve and advance dentistry

## Percent of 2015 sales by region\*



# Table of Contents

 page 3	Corporate Social Responsibility Platform
 page 4	Section 1: Oral health improvement initiatives
 page 7	Section 2: Governance
 page 9	Section 3: Workplace
 page 12	Section 4: Community
 page 16	Section 5: Marketplace
 page 18	Section 6: Environment
 page 21	Section 7: Political spending
 page 21	Conclusion

# Corporate Social Responsibility Platform



As a company, Dentsply Sirona strives to make a positive impact on the global community by adhering to our core pillars of business – innovation, research, education, and vision. Each one of these pillars is what makes Dentsply Sirona a key contributor to the future of dental care and a partner in the overall well-being of the global community.

At the heart of Dentsply Sirona is a vigorous commitment to innovation and education. With the ability to produce over 30 new products annually, we still see the importance in revitalizing equipment and developing new ways of helping the greater good with resources that are already available to us. By aligning our research efforts and education platform, we will build on our history of introducing innovations that advance patient care around the globe.

We know that optimizing oral health can have a profound impact on economic prosperity, individual confidence, and opportunity. Inadequate oral health can significantly limit opportunities, both personally and professionally. In many countries, including the U.S., tooth decay is the most common chronic childhood disease. Dental diseases in children have a negative influence on nourishment, learning, and attaining

full potential. According to Oral Health America, over 51 million school hours are lost each year due to dental-related illnesses. In some U.S. school districts, dental problems are the most common cause of student absences.

We know that better dental care builds stronger communities. Every person in our community and at Dentsply Sirona is a patient, which is why we make it our mission to provide industry-leading solutions to dental care professionals worldwide. We do this in part by donating dental services to non-profit organizations.

By donating our innovative products, such as our WaveOne Endo motor, Cavitron, our ultrasonic scaler, instruments, or our market-leading CEREC system, we've been able to help foundations like the European Academy of Pediatric Dentistry positively impact the lives of children each year. And, we've supported organizations like Mission of Mercy in providing free dental care to the uninsured or under-insured.

As a company, Dentsply Sirona will continue to make a positive impact on the world, with a core commitment to empowering the improvement of oral health. Our management team and Board of Directors firmly support and endorse this platform.

## Oral health improvement initiatives

Dentsply Sirona is committed to empowering dental professionals to provide better, safer, and faster dental care. We do this in many forms, yet the most critical is by providing dental professionals with high-quality products. When the right tools are in the hands of someone who can make a difference, great things happen.

Not only does Dentsply Sirona provide high-quality products, we also donate our time and contribute to charitable and nonprofit dental organizations. We provide financial contributions and product support to organizations that improve access to oral health care. We've committed more than \$1.5 million in financial and product donations to various organizations on an annual basis. By providing oral health education, treatment, and preventive services, we can help improve the access of oral health care to the global community.

These contributions have resulted in:

- Thousands of children receiving dental sealant treatment through Oral Health

America's National Sealant Alliance. Dental sealants are plastic coatings applied to the chewing surfaces of the teeth to protect against tooth decay, the most common chronic disease of childhood.

- Thousands of people receiving aid through the Dentistry Canada Fund for costly oral health treatment.
- Thousands of children receiving health education and dental care through the European Academy for Pediatric Dentistry's network of associations.

Meeting the dental needs of a global population requires extensive research and education. To support this, Dentsply Sirona partners worldwide with dental institutions, including every US dental school, to contribute to the development of next-generation solutions for better, safer, and faster dental care. We feel that by supporting this, we will all reap the benefits by having better educated dental professionals, high-quality products, and practices.





With our combined 200 dental professionals employed worldwide, the expertise provided through our innovation, education, research, and development cycle is unmatched. This philosophy we've instilled is showing through the pipeline of work and making a difference in the dentistry world. We're seeing engaged dental professionals who understand the needs of their patients and are united around ambitious ways to provide better care.

By working in tandem with student scientists, faculty research, and clinical education, we are creating a world in which people can receive the dental care they need by highly qualified dental professionals. And, we're providing education and support to smooth adoption and ensure the benefits of innovations are realized.

A few examples of these programs can be seen below:

The ADA/Dentsply Sirona Student Clinician Research Program was initiated in 1959 to commemorate the centennial of the ADA in New York City as a joint venture between the American Dental Association and Dentsply Sirona (then DENTSPLY International). Since its inception over half a century ago, the program has expanded to include 18 national programs covering 39 countries and more than 7,000 students from around the world.

Students and their faculty advisors have developed new techniques and procedures through university-based oral health research by participating in the ADA/Dentsply Sirona Student Clinician Research Program. The talented students who earn the prestigious national title are hosted by Dentsply Sirona to attend the American Dental Association annual session where they have the unique opportunity to present their research with other national winners at the global SCADA program.

The SCADA program (Student Clinician Research program of the ADA) is comprised of a select and elite group of dentists who participated in the Student Clinician Research Program at their individual schools and their country's national program. SCADA helps create dental leaders who benefit their communities by promoting health, relieving pain, and protecting smiles. Many of the former student clinicians have gone on to become leaders in the dentistry profession. Some serve as academic leaders, others as leading scientists, many as outstanding clinicians and teachers.

Dentsply Sirona supports other educational programs such as the ADEA (American Dental Education Association), IFDEA (International Federation of Dental Education Association), AGD (Academy of General Dentistry), and several grants to various schools and universities around the globe. With our partnership to all of these programs and associations, we've been able to assist the next





generation of dental professionals to gain a complete understanding of dentistry and equip them with the necessary tools to be a leader in dentistry in their respective community.

In the dental industry, learning never ceases. Not only do we partner with several global student organizations and programs, we also take the time to continue education for dental professionals. Each year we educate more than 339,000 dental professionals and team members to help advance their clinical skills and knowledge of the dental industry by participating in over 10,800 Dentsply Sirona sponsored continuing education courses. These courses are conducted in 87 countries located all around the globe. Providing education and support with our continuing education courses allows us to ensure the benefits of innovations are realized and maximized.

Along with education and research, innovation and vision are key pillars of business that Dentsply Sirona believes in. To continue building on our storied history, Dentsply Sirona consistently invests in research and development for solutions that deliver clinical efficacy and efficiency, and support patient preferences. Our product development is driven by the creativity of our scientists, engineers, and research and clinical collaborators across the globe. From idea

generation through product launch, global cross-functional project teams work collaboratively to identify unmet needs and address them with innovative, clinically relevant product solutions.

Our world-class innovation centers across the globe support our commitment to develop new products and enhance existing solutions. Dentsply Sirona manufactures on a global scale with a product platform comprised of established brand names and an extensive patent portfolio that is unparalleled in the global professional dental marketplace. Over the past five years, Dentsply Sirona has delivered on our commitment to innovation through the development and enhancement of several transformative products and solutions.

Dentsply Sirona strongly believes in the power of education and research. By developing these key areas, innovative research and product development comes at a quicker and more efficient pace. We will continue to invest in these areas of business and continue to be an industry-leader in dental research and innovation. By doing this, our research, education, products, employee voluntarism, financial support, and industry support will improve oral health worldwide. Together, we can achieve more.



## Governance

### Our promise to customers, partners and employees

As Dentsply Sirona, The Dental Solutions Company™, comprehensive solutions offering includes leading product brands across consumables, equipment, technology and specialty products. With the broadest clinical education platform and an unparalleled commitment to R&D in dentistry, our mission and vision guide us to continuously aim higher, support our customers and advance patient care around the world.

#### Our Mission



Mission

“Empowering dental professionals to provide better, safer, faster dental care”

#### Our Vision



Vision

“Delivering innovative dental solutions to improve oral health worldwide”

#### Our Values

Dentsply Sirona has a core set of values that we practice every day.





Dentsply Sirona Thailand employees smiling with their Company value pyramids.

### Code of Business Conduct and Ethics Guiding Principles

The merger of Dentsply and Sirona brings together two companies which have a long history and reputation of ethical business practices throughout the global community. Through frequent and clear communications and interactive training, Dentsply Sirona employees and business partners are informed that illegal or unethical conduct will not be tolerated. The Company’s Code of Business Conduct and Ethics (the Code) sets forth our guiding values and principles for the conduct of our business which must be followed by everyone who does business on behalf of Dentsply Sirona.

Company supervisors and managers are responsible for ensuring compliance with the Code by the personnel whom they lead. All employees are responsible for acquiring sufficient knowledge to recognize potential compliance issues applicable to their duties and for appropriately seeking advice from subject matter experts regarding such issues.

The Code has been distributed to all employees and sets forth the general standards relevant to the Company’s business and operations. In addition, there are numerous policies and procedures which

provide more detailed guidance on a variety of topics. The Company communicates these specific policies to personnel who are particularly affected by them and seeks full compliance in the conduct of the Company’s business. Dentsply Sirona’s Marketing to Professional Code of Conduct requires all interactions with medical and dental professionals are compliant with applicable laws and regulations, as well as our core values. Additionally, Dentsply Sirona is committed to the reporting requirements of the Physicians Payment Sunshine Act (Open Payments) and other transparency laws worldwide. Employees who interact with dental professionals are required to take training and agree to comply with the Marketing to Professionals Code of Conduct. These policies are changed or supplemented from time to time as required.

Dentsply Sirona supports global efforts to address the issues of exploitation, slavery, and human trafficking through our internal policies as well as through direct actions with our business partners in our supply chain. We expect all of our employees and business partners to fully comply with the California Transparency in Supply Chain Act of 2010 and the UK Modern Slavery Act of 2015, and the Conflict Minerals provisions of the US Dodd-Frank Act of 2010.

### Ethics and Compliance Committee

Dentsply Sirona’s Chief Compliance Officer leads our global ethics and compliance efforts. Together with the Chief Compliance Officer, a cross-functional Ethics and Compliance Committee promotes a work environment and policies that uphold the highest ethical standards for all Dentsply Sirona locations and business partners. Under this committee’s charter, our ethics and compliance initiatives illustrate Dentsply Sirona’s strong commitment to our Core Values which set behavioral expectations for our employees, business partners and industry consultants. Ultimately, this proactive approach to managing our ethics and compliance program helps ensure Dentsply Sirona’s sustainable future.

# 3

## Workplace

As an industry leader, Dentsply Sirona understands the significance and importance of developing a team of employees that support our mission. It is through creating a work environment of strength that our employees gather the talent and compassion for dentistry to sustain our business success. Through our different experiences, perspectives, and unique skills, we continue to develop and deliver innovative products to our customers around the world.

### Dentsply Sirona Career Development

Our employees thrive on collaboration with a global team of colleagues, and the opportunity to make a difference in our mission to provide better, safer, faster dental care. We offer a broad range of exciting and rewarding opportunities across the globe. The Dentsply Sirona team is worldwide, diverse, engaged, and passionate about dentistry. From sales and marketing, to clinical education, or research and development, our people actively



The 2016 Dentsply Sirona Global Leadership Development participants.

engage in advancing Dentsply Sirona in today's dental industry. Together, we make a difference.

To ensure that our employees are able to meet the ever-increasing needs of our growing Company, we invest a significant amount of time and resources to all levels of employee development. Dentsply Sirona's employee development programs include internal opportunities, on-the-job opportunities, and external educational opportunities designed to build individual and group capabilities. Dentsply Sirona's internal programs provide a wide array of development opportunities designed to meet the specific needs of our employees at various levels in the Company. Dentsply Sirona has also created a leadership program for women designed to support the acceleration of their career development. Maureen MacInnis, SVP and CHRO, believes the commitment to women's leadership at Dentsply Sirona is only getting stronger. "We are incredibly lucky to have an increasing number of women in key roles throughout the world," she explained. "The women of Dentsply Sirona bring outstanding clinical knowledge that directly supports our customers and builds our reputation as the dental solutions company. Having these wonderful women as colleagues is a privilege, and their expertise is shaping our future." This year, Dentsply Sirona more than quadrupled the participants to ensure a commitment to their future.

In addition, Dentsply Sirona conducts a tuition assistance program in many of our locations. This valuable benefit has enabled participating Dentsply Sirona employees to complete their university education, while others have pursued advanced degree programs. This program has resulted in career advancement for many employees.

Whether it is through our internally developed programs, our education assistance programs, our external programs, our employees have a variety of opportunities to enhance their ability to contribute to Dentsply Sirona's performance and develop their careers within the Company.



Nearly 200 Dentsply Sirona Culture Champions reside in the company globally, committed to obtaining a high performance organization that rewards personal accountability. Pictured above, the European Culture Champions at the Dentsply Sirona Bensheim, Germany location.



Dentsply Sirona colleagues in Chile gather with the Company's values pyramids to display one global team bringing out the best in each other.

## Equal Employment Opportunity and Inclusion

Dentsply Sirona believes that cultural diversity and inclusion contributes to the health of society. As an international corporation, Dentsply Sirona values a culture that represents our global portfolio of businesses. To this end, Dentsply Sirona has an active strategy of cultural diversity and inclusion, which drives our efforts at talent recruitment, development, and management of our employees. We are committed to the principle of equal employment opportunity because it is morally right as well as being legally required. Our continued success depends on the effective use of the talents and skills from all of our employees and leveraging our global diversity and multi-cultural workforce.

Our international businesses typically reflect and preserve the culture of the country in which they operate. We currently have a diverse workforce around the world in our global Dentsply Sirona locations.

Our commitment to diversity and inclusion includes recruitment of diverse candidates throughout the business, including our Board of Directors and management, as well as our Corporate and operating functions, such as sales, marketing, finance, and information technology, in locations around the globe. Employee training and information, available in multiple languages, maintains a focus on global diversity and appreciation of regional cultures.

When filling an open position we make every effort to include a slate of qualified, diverse

candidates. Job-specific qualifications, skills, and experience are the basis for recruitment, training, and advancement of our employees at all levels. Our policy is to fill positions with the most qualified candidates regardless of race, color, sex, age, national origin, disability, religion, sexual orientation, or veteran status, except where there is a bona fide occupational qualification. This policy applies to all decisions about recruitment, hiring, compensation, benefits, transfers, promotions, training, social programs, layoffs, and any other conditions of employment. In line with this policy, Dentsply Sirona offers same and opposite gender domestic partners benefits to employees. We also provide reasonable accommodations to qualified individuals with disabilities.

Dentsply Sirona is committed to providing a workplace that is free of discrimination, in all aspects of employment. We recognize that this practice is aligned with our core values as well as being a sound business practice.

## Health and Safety

Dentsply Sirona is committed to having safe and healthy operations around the world. Our goal is to protect the lives and health of our employees and surrounding communities. To achieve this goal, our employees receive safety training and we conduct annual Environmental, Health and Safety audits in each of our manufacturing facilities around the world. In the U.S., audits are also conducted periodically by the Occupational Safety and Health Administration (OSHA) and we work with this regulatory body to ensure compliance with all safety standards.

# 4

## Community



Balloon releasing with community members at the Ballaigues, Switzerland Dentsply Sirona office during an event supporting local children with cancer.

### Improving Oral Health in the Global Community

As a leader in the dental industry, Dentsply Sirona recognizes that the greatest contributions the Company can make to the global community are through advocacy and support of efforts that improve the oral health of under-served children and adults. To that end, the funding priorities of Dentsply Sirona include:

1. Improve oral health and access for children and adults through support of oral health education, treatment, and prevention programs.
2. Support for dental education and research to sustain the viability of the dental profession.
3. Sustain healthy communities where we live, work, and serve through support of health, education, and social service agencies.

On an annual basis, Dentsply Sirona supports thousands of charitable events and activities around the world, several of which focus heavily on education of oral health for children. We believe that a strong understanding of oral health from a young age promotes healthy habits and helps to dramatically reduce the

chance of oral diseases and health problems later in life. Some of the most impactful events and initiatives this year included the following.

#### Water Street Health Services

Water Street Health Services is a nonprofit program of the Water Street Mission in Lancaster, Pennsylvania. This program is the largest nonprofit provider of free dental care to the homeless and needy in York and Lancaster Counties. To date, this program has served over 6,000 patients with quality dental care. Being a nonprofit and providing free dental care to thousands of people makes it difficult to keep their dental equipment in top shape. Recently, one of their dental chairs was damaged beyond repair, and they were in desperate need of a new one.

So, Dentsply Sirona stepped into action and provided them with new equipment, including a state-of-the-art Treatment Center. Dentsply Sirona is excited to donate equipment to a great cause like this, and the team at Water Street Health Services was extremely appreciative to have a new patient chair. It even brought some of their staff to tears. In addition, Dentsply Sirona's Day with Dentsply Sirona educational



Keith Clements, US Vice President of Sales alongside the Water Street Health Services team with the newly donated Dentsply Sirona Treatment Center.



CSR volunteers (left to right), Chomboon Thawan and Areeya Thongphan, Dentsply Sirona CAD/CAM specialists; a local patient, Dr. Pavinee and Julien Didron, Dentsply Sirona Thailand Country Leader.

event in Lancaster Country for dental professionals donated a portion of seminar fees to Water Street Health Services in 2016.

### Dental Innovation Foundation

The Dental Innovation Foundation under the Royal Patronage with Srinakharinwirot University at Phicit Province in Thailand is an outreach program at the university that provides dental clinics throughout the Phicit Province. Dentsply Sirona participates in this program by providing a team of dental experts and Dentsply Sirona's CEREC system to be used at the dental clinics. Our team of experts not only uses CEREC to treat patients in a single visit, but also lends their knowledge and expertise to help educate citizens of the Phicit Province on healthy dental habits and practices. Our experts also provide training to the local dentists on the CEREC Omnicam, an intraoral scanner, to help enhance the accessibility of high-quality dental care.

This program demonstrates our core mission and values by delivering innovative dental solutions to improve oral health worldwide. The patients of the program do not have access to advanced dental solutions in their local dental facilities. By



The Box of Hope organization, alongside volunteers bring hope into action for those less fortunate.

having a Dentsply Sirona team with advanced technology present, it allows for patients and dental professionals alike to gain more knowledge and awareness of dental care and services.

### Box of Hope

Box of Hope is a registered Hong Kong charity aimed at providing useful, educational gifts to underprivileged children in Hong Kong and the surrounding areas. Box of Hope, at its core, teaches the gift of giving to those less fortunate. After seeing gifts being prepared by her children in school, a Dentsply Sirona Hong Kong employee, Stephanie Gaylard, gathered fellow Dentsply Sirona employees together to participate in this event as a way to help promote healthy dental habits for children. They gathered dental supplies, toys, and educational supplies and put together boxes for five underprivileged children. Dentsply Sirona looks forward to participating in this program again.

### Eugene Field Elementary Holiday Helper Project

The Holiday Helper Project is a school-wide activity at Eugene Field Elementary School in Tulsa, Oklahoma. The project ensures every child has a gift to open during the holidays. Dentsply



Students at Eugene Field Elementary School gathered for the annual holiday giving campaign with support from businesses, including Dentsply Sirona.



Through ARFAC Association and Dentsply Sirona, local Jungfrau, Switzerland children enjoyed hands-on activities with Dentsply Sirona, including engraving keychains alongside R&D employees with a Dentsply Sirona ThermoFfil-Endo-Training block and X-Smart Plus hand instrument.

Sirona actively participates by purchasing gifts from a “wish list” and taking them to Eugene Field Elementary for the children to open during their classroom party. The Endodontic business in Tulsa has been a part of this project for over 10 years and still finds joy and excitement each year they participate. Being able to provide children with gifts to open during the holidays is something the entire team looks forward to every holiday season. All gifts are equal, so no child receives more than another. All of the children are treated with love and respect, and because of that, they know Dentsply Sirona truly want to share the holiday spirit with all of them.

### Dental Equipment Donations to Emerging Markets

Dentsply Sirona believes in providing better, safer, faster oral healthcare to those less fortunate and the Dentsply Sirona Australian and New Zealand divisions had the opportunity to do just that. Throughout 2016, we’ve donated a total of \$3,057 in dental products to eight different causes. All of the donations made from our Australian and New Zealand divisions have gone to organizations that



Mike Stone, Managing Director, Dentsply Sirona; Paul Masi, Chairman and President of the Cerebral Palsy Alliance; Chris Aughton, CEO of Modern Dental Pacific and his son Daniel.

support families in need. One such organization, Cerebral Palsy Alliance, received the CEREC system to perform better restorative procedures. “We are always looking for ways to support the community in a way that matches our corporate vision of bringing dental health and wellbeing to the community.” Said Stafford Milton, National Product Manager, CEREC, Dentsply Sirona.

### Habitat for Humanity Veterans Build

Dentsply Sirona not only provides quality dental care solutions globally, but also looks outside of the dental industry to give back to our communities at large. One way we do this is with Habitat for Humanity. Dentsply Sirona partnered with Habitat for Humanity’s Veterans Build program, which provides employment, housing, and volunteer opportunities to U.S. military veterans and their families. Employees participated in three building days in the York County, Pennsylvania area to assist a wonderful organization for a great cause. To be able to give back to the men and women who serve for the U.S. Military is a great honor to Dentsply Sirona.

### Saving Teeth. Changing Lives.

The benefits of keeping our natural teeth are significant and far-reaching. Tooth retention impacts more than our oral health. Research shows tooth loss and complete edentulism are associated with a multitude of systemic comorbid conditions including malnutrition, obesity, cardiovascular disease, rheumatoid



The single-patient treatment kit for Project 32 created by Dentsply Sirona Endodontics.



Increased access to endodontic care.

arthritis, pulmonary diseases, and cancer.

Diseased teeth can cause pain, but losing those teeth to extraction brings its own set of challenges. Tooth loss impacts social status, self-esteem, and psychological well-being.

Project 32 is a worldwide initiative by Dentsply Sirona Endodontics to promote the advancement of root canal procedures and the importance of maintaining the health of all 32 teeth. Many regions around the world lack an affordable way to provide root canal treatment and sufficient training to place necessary skills into more clinicians' hands.

As the world leader in endodontic innovation, Dentsply Sirona Endodontics is committed to providing quality solutions and education to support dental clinicians and improve patient outcomes around the globe. No other company has done more to advance endodontic care. Now, Project 32 brings the power to improve health populations in more regions than ever by removing the barriers to endodontic care.

Project 32 provides clinical education for training local dentists to perform a successful root canal treatment using the single-patient kit. Our comprehensive, hands-on course takes participants step-by-step through the efficient procedure, so they can bring healthy outcomes

to patients in their community with confidence.

### ARFEC Association

The ARFEC Association is the Association de Familles D'enfants Atteints d'un Cancer (Association of Families of Children with Cancer) is a countrywide organization in Switzerland for assisting families with children who have cancer. Dentsply Sirona employees in Jungfrau, Switzerland donated \$20,000 and helped raised an additional \$4,000 from other organizations for ARFEC to help fund the amazing work that they do. In addition to the funds donated, the children and their families involved with ARFEC were invited to the Jungfrau location for a special afternoon of fun activities, snacks, and a tour of the facility.



A handful of the York-based Dentsply Sirona colleagues who came together for three Dentsply Sirona Habitat for Humanity build days in 2016 pose in between build tasks at the Veteran's Build in Manchester.



# 5

## Marketplace



Aquasil Ultra+ impression material delivers exceptional intraoral performance with market leading intraoral hydrophilicity and tear strength which enables clinicians to capture and retain the detail they need.

A combination of intuition, imagination, insight, and curiosity drives Dentsply Sirona's innovation over a broad range of dental solutions. These solutions focus on defining end-to-end treatments or integrated workflows, which typically requires more than one product and allows for "single-visit" chairside dentistry, optimized dental laboratory services, and centralized manufacturing. State-of-the-art expertise in materials science, equipment design, and software development provide the basis for Dentsply Sirona's Research and Development team. This allows the team to utilize cutting-edge processing technologies to create exciting consumable products and equipment. Professionals use these products, which include some of the most established brands in the global market to prevent and treat dental disease as well as replace and regenerate oral tissue. Dentsply Sirona employs the best and brightest scientists, engineers, and clinicians in the global dental industry to ensure

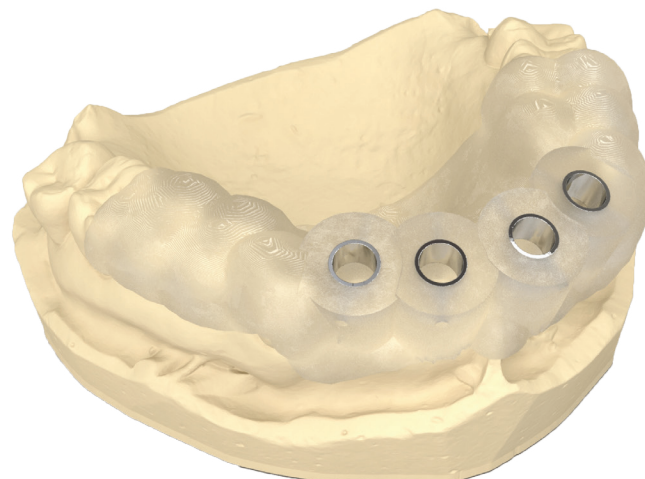
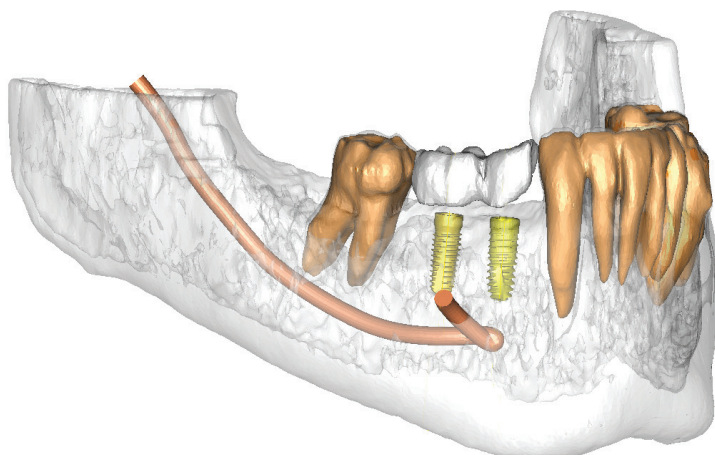
we are providing the highest quality services and products to our customers. The broad experience and knowhow of more than 600 research and development employees translates into more than 30 innovative new products created annually.

### A. Pre-Clinical Testing

Dentsply Sirona develops and licenses numerous medical devices for use in dental care applications. The vast majority of the products we develop are substantially greater in quality compared to products already available on the market. Whenever possible, Dentsply Sirona uses in vitro or benchtop methods that do not require testing in animals. When studies involving animals are absolutely necessary (e.g. when required by law, regulation or standards) to establish safety, Dentsply Sirona uses facilities that follow the relevant national guidelines for the Care and Use of Animals. In the U.S., this follows 21CFR Part 58 and 9CFR Parts 1-3 and global ISO 10993-2 (Animal Welfare Requirements). These guidelines require that all studies be approved by an Institutional Animal Care and Use Committee and the number of animals are limited to the minimum amount possible to demonstrate safety and efficacy prior to human clinical studies. Within these facilities, animal research monitoring is required to ensure that the animals are treated safely and humanely.



Advanced new 3D imaging software improves the planning and workflow of endodontic procedures empowering dental professionals to provide better, safer, faster dental care.



SIMPLANT offers clinicians a comprehensive 3D system for accurate and predictable implant treatment including dental scanning and planning, drilling, implant placement and restoration.

### B. Clinical Testing

Prior to commercializing innovative products, Dentsply Sirona often utilizes data from human clinical tests. Dentsply Sirona conducts all studies with human subjects in a manner that protects the safety and rights of patients and investigators. In addition to protecting the rights of individuals including confidentiality, Dentsply Sirona complies with regulatory requirements of the countries in which studies are conducted and those in which regulatory submissions take place. Dentsply Sirona's practice ensures that controls are in place and followed to protect the integrity of the study results.

### C. Dental Amalgam

Dental amalgam, which is composed of a mixture of metals such as silver, mercury, copper, and tin, is considered a safe, affordable, and durable material that has been used for over 100 years to restore teeth affected by dental caries (tooth decay). It has a long-established record of safety and effectiveness. While it has been the subject of questions because of its mercury content, dental amalgam has been studied and reviewed extensively and continues to be preferred and used by dental professionals in certain clinical applications. Dentsply Sirona sells numerous restorative products that are alternatives to amalgam.

Many organizations, including the American Dental Association, Federation Dentaire Internationale (World Dental Federation) and the FDA, support the use of dental amalgam. The

Company has reviewed and evaluated its dental amalgam product line. This review included assessment of a wide range of factors, including the clinical effectiveness of amalgam, published scientific studies regarding material safety, the results of the FDA review of dental amalgam, as well as environmental and legal considerations. Based on our internal assessment and published industry research, Dentsply Sirona concludes that dental amalgam continues to be a useful restorative material whose safety and efficacy has been extensively documented.

Based on this assessment and the needs of customers, Dentsply Sirona expects to continue to responsibly manufacture and sell dental amalgam while focusing research and development investments on advanced alternative dental-restorative materials. In agreement with the Minamata Convention, Dentsply Sirona supports the consensus for a phase-down approach, ratified by the Convention and signed by over 100 countries, to reduce the use of dental amalgams. Dentsply Sirona's marketing efforts and educational activities are designed to educate clinicians about the benefits and techniques for using today's state-of-the-art alternative restorative solutions. In addition, Dentsply Sirona continues to promote the use of the American Dental Association's Best Management Practices for Amalgam Waste to customers who choose to use dental amalgam. Finally, Dentsply Sirona supports a variety of industry-wide initiatives to promote prevention of oral health disease, which in turn, reduces the need for restorative procedures.

## Environment



### A. Energy Resources

Dentsply Sirona manufactures products located in facilities around the world. The nature of our manufacturing businesses is such that we are not a significant consumer of natural resources or energy. In fact, Dentsply Sirona's global electricity and natural gas consumption costs total less than 1% of our sales.

Although we are not large consumers of energy, we are committed to improving our ability to control our energy consumption and reduce it. Currently, our Ballaigues, Switzerland facility uses photovoltaic panels to produce 1,189m<sup>2</sup> of electricity used by the facility. It has also implemented an automatic store system to limit solar heating. This is guided by an automatic weather management system. This facility also uses thermal recovery with high efficiency for all HVAC systems. Dentsply Sirona tracks baseline energy impact based on metric tons of CO<sub>2</sub> (type 1 and type 2) to gain a view of Dentsply Sirona's global energy consumption and related greenhouse gas emissions. This dashboard provides a

view of our cost efficiency and greenhouse gas emission opportunities. The use of this data supports our participation and reporting in the Carbon Disclosure Project (CDP). We have continued to enhance our understanding of our Type 3 CO<sub>2</sub> impact. For example, in 2016 we expanded our Type 3 understanding beyond North America to include Europe and in the near future, other regions.

Several Dentsply Sirona facilities in Europe are already obtaining up to 20% or more of their energy needs from renewable energy sources, including wind and solar power.

Adjacent to energy management, we began to extend the progress we made last year in reducing our impact on the environment through our managed print service (MPS) program.

In 2016, we began the effort to implement the MPS program with our top priority European sites starting sometime in 2017. As a result, we have improved our CDP score three years in a row.

### B. Hazardous Waste

Our manufacturing facilities do not produce large amounts of hazardous wastes and emissions, based on the type of manufactured materials and the size of our manufacturing footprint. In prior years, we've reported U.S. manufacturing facility permits related to the environment. For 2016 and beyond, we're expanding to a global reporting basis, encompassing permits worldwide. Six of our manufacturing facilities have hazardous waste permits, 18 manufacturing facilities have air emission permits or authorizations, four of our manufacturing facilities are required to have landfill disposal licenses or permits, and we own five water (emission/storm/waste) permits. We strive to ensure that our manufacturing facilities are in compliance with those licenses and permits. Each manufacturing facility tracks and reports their hazardous waste and emissions on an annual basis.

### C. Local Teams Go Green

Our employees are motivated to reduce Dentsply Sirona's negative environmental impact by focusing on ways we can reduce energy consumption, increase recycling, eliminate waste, and implement other "green" ideas within our local facilities. Through our partnership with Schneider Electric, local teams

have access to statistical data to support their investment decisions related to the reduction of energy consumption with measurable results in both costs and usage. Several facilities have already begun developing environmental impact reduction strategies.

### Making positive impacts on the environment and the workplace

Our Bensheim, Germany location has received its ISO 14001 certification and follows the worldwide recognized guideline for health and safety measures (NLF/ILO-OSH 2001). The ISO 14001 certification is provided by the International Organization for Standardization and is a systematic and process-driven approach to controlling aspects of our business that have a significant impact on the environment.

The NLF/ILO-OSH 2001 guidelines are a set of safety measures that have been developed by the International Labor Organization (ILO) and are a set of safety measures put in place to reduce the hazards and risks in the workplace. Our goal is to provide a safe and risk-free environment for our employees to thrive.



# SELECTIVE EXAMPLES OF GREEN INITIATIVES



## HAZARDOUS WASTE

- US based facility implemented CNC grinding, reducing acid waste by 90% and electrolyte solution waste by 50%
- US-based facility eliminated use of all white bliss boxes by converting to environmentally friendly unbleached box packaging
- Many facilities around the globe reuse or recycle a majority of raw materials and packaging materials to reduce pollution and carbon footprint
- US-based facility using green custodial supplies where suitable
- Swedish-based facility recycles solvent emission and metallic waste
- Swedish-based facility improved chemical handling for surface treatment, leading to less waste



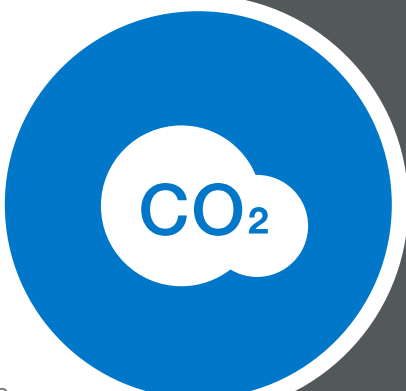
## WATER USAGE

- US and German-based facilities converted to a closed loop water cooling system, resulting in significant water consumption savings, including a 73% reduction in one facility.
- Brazil-based facility implemented system for recycling water used for cooling system
- Netherlands-based facility refurbished heat pump to minimize water used for cooling system
- Swedish-based facility reduced water consumption by 70% with installation of tumbling system
- Belgium-based facility uses 85% less water since installing sensor faucets
- Treat all the process and used water before evacuation
- Evapo-concentrators to limit the treatment need of volume of water for toxic wastes



## ENERGY USAGE

- US-based facility converted to high efficiency systems
- US-based facility installed energy efficient infrared heaters in dock area
- Netherlands-based facility installed solar energy panels
- Swedish-based facility uses renewable resources to provide electrical power
- US based facility reducing compressed air use through programs designed to reduce system losses
- US-based facility initiated project to use daylight when/where possible to reduce electrical energy
- Brazil-based facility manages a recycle materials program targeted to reduce environmental waste and impact while generating revenue that's funneled back into the business
- Renewable energy sources are being used in Sweden, Germany and Switzerland facilities through wind and solar power



## CARBON EMISSIONS

- German-based facility installed new gas heater system, reducing gas usage by 20-30%
- Brazil-based facility replaced diesel with LBG as energy source, reducing CO and CO2
- Swedish and Belgium-based facilities implemented strategy to improve car fleet efficiency to reduce CO2 emissions
- Netherlands-based facility replaced gasoline powered cars with electrical cars
- Germany-based facility reduced natural gas consumption by 21% by optimizing production and maintenance scheduling
- Swedish-based facility reduced the use and leakage of Sulfur hexafluoride gas (SF6) which reduced the CO2 footprint by 50% the last three years

## Political Spending

Dentsply Sirona does not use corporate funds for political spending or lobbying on political issues, and has not done so for at least the last six years, nor do we have a political action committee. As stated in our Code of Business Conduct and Ethics, the Company's established policy is that no company funds or other company assets may be contributed for political purposes, regardless of whether in the U.S. or outside the U.S., without the prior review by the Company's General Counsel, and approval by the Board of Directors.

In the U.S., Dentsply Sirona is a member of the Dental Trade Alliance (DTA), an association of companies that provide dental equipment, supplies, materials and services to dentists and other oral care professionals. The DTA's core purpose is to enhance member success and increase dental demand. From time to time, DTA

may engage in lobbying regarding legislation that is of interest to its members.

With headquarters in York, Pennsylvania, Dentsply Sirona is also a member of the Pennsylvania Chamber of Business and Industry. The Chamber is the commonwealth's largest broad-based business advocacy organization with a mission to improve Pennsylvania's business climate and increase the competitive advantage for its members.

Based on inquiries with US-based trade associations to which our membership fees exceed \$20,000, the Company has determined that the amount of such dues or payments that may have been used for lobbying purposes is inconsequential, and no portion of such dues or payments have been used for political contributions.

## Conclusion

Dentsply Sirona has a long history of supporting the communities in which we live, work and serve. Dentsply Sirona's Corporate Responsibility Platform represents our efforts to report on our sustainable activities and sharpen our philanthropic focus in the area where we have the greatest impact - improving the oral health of under-served populations. We are firmly committed to the principles outlined in our platform. As with our entire business, Dentsply Sirona Planet Health represents our efforts to continually evolve and improve our efforts for corporate responsibility and sustainability.